



Privacy Notice

EFG Capital International Corp.
701 Brickell Avenue
9th Floor
Miami, FL 33131
(305) 482-8000

The privacy of clients (collectively “you” and “your”) is important to EFG Capital International Corp. (“we,” “us,” “our,” “EFG”), and we understand the importance of the privacy and security of your personally identifiable information. Our relationship with you is our most valuable asset, and in a continued effort to maintain your trust and confidence, we are providing this Notice to you, as required by law, to understand how EFG protects the confidentiality and security of your personal information.

Keeping financial information secure is one of our most important responsibilities. We will limit the collection and use of personal information to what is necessary to administer our business and to deliver superior service to you. This may include advising you about our products, services, and other opportunities that we believe may interest you. We may also record phone calls to ensure that your instructions are followed and to monitor our employees and agents.

We will safeguard, according to strict standards of security and confidentiality, any information you share with us. We maintain physical, electronic, and procedural safeguards to protect your personal information and assess new technology for protecting your information and upgrading our systems when appropriate. Our employees and contractors (including attorneys, accountants, and other advisors) are subject to a strict policy requiring confidential treatment of your personal information and are trained in the proper handling of your personal information. We do not sell, share, or disclose your personally identifiable information to non-affiliated third parties for purposes of marketing.

Where applicable, we may also share your information to Pershing LLC or any of our counterparties or clearing firms, as required, and permitted by law. On occasion, we may hire third parties to provide support services or act on our behalf. The services provided by third parties may include processing payments, providing courier and mailing services, storing records, processing transactions, and mailing account statements. Any third party acting on our behalf and are obligated to keep information that we provide to them strictly confidential, and third parties may only use the information to provide the services we have asked them to perform.

MAKING SURE INFORMATION IS ACCURATE

Keeping your information accurate and up to date is very important. If your information is incomplete, inaccurate, or not current, please notify us as soon as possible by sending an email to Regulatory@efgcapital.com

If you have any questions about this Notice, you may contact us at:

EFG Capital International Corp.
Attention: Regulatory Department
701 Brickell Avenue, Suite 1350, Miami FL 33131
Phone Number – 305-482-8000
E-mail – Regulatory@efgcapital.com

We accept calls from 711 or other relay services for the speech and hearing impaired.

Who we are

Who is providing this notice?

EFG Capital International Corp. (EFG)

What we do

How does EFG protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does EFG collect my personal information?

We collect your personal information, for example, when you

- apply for an account or complete a form we request
- conduct a transaction or apply for a loan
- provide employment or income information

We may collect your information from consumer reporting agencies or from other sources.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- EFG affiliates include EFG Asset Management (Americas) Corp, EFG Bank Group, EFG Bank & Trust (Bahamas) Ltd., and EFG Bank – Cayman Branch, and any subsidiaries or parent companies.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- EFG may hire nonaffiliated individuals or entities to provide support services or act on EFG's behalf.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other important information

For California Residents: We will not share information we collect about you with nonaffiliated third parties, except as permitted by California law, such as to process your transactions or to maintain your account.

FACTS**WHAT DOES EFG Capital International Corp. (EFG) DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and income ■ account balances and employment history ■ credit history and transaction history <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons EFG chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does EFG share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	-
For our affiliates' everyday business purposes — information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes — information about your creditworthiness	No	-
For nonaffiliates to market to you	No	-

Questions?	Call 305-482-8000 (We accept calls from 711 or other relay services)
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